

SLED GRC AUDIT UPDATES





Objectives

- What is the SLED GRC Unit?
- To better understand the audit process.
- The difference between access to NCIC and CJI data.

SLED Governance, Risk, and Compliance (GRC) Unit



What is the GRC Unit?

- The SLED GRC Unit is tasked with ensuring that the local CJIS user community operates within the guidelines set forth in the *FBI CJIS Security Policy* (current v. 5.6).
- Auditing
- Training
- Consulting

The Audit Process





Agency L.A.S.O.

- Has your agency designated a LASO?
- Why does every agency need one?
 - The policy requires it **3.2.2 (e)**, regardless of terminal or non-terminal status.
- This person needs to have knowledge and understanding of the requirements set forth in the FBI CJIS Security Policy.

The Old Audit Process

South Carolina Law Enforcement Division

CJIS Audit

Audit Activity Summary

Audit Name	Active	Pending Review	In Reporting	Pending Response	Final Review	Agency Confirmation
2014-2015 IT Technical Audit	17	1	213	92	66	21

- Active
- Pending Review & In Reporting
- Pending Response
- Final Review
- Agency Confirmation

The New Audit

Criminal Justice Information Technology Security Audit - Audit Questionnaire

SLED Information Technology Security Audit - Supplemental Guide

The New Audit Process

- All audits will be sent directly to the agency LASO.
- 30 Days prior to the audit your agency will receive the audit packet. Should you be chosen for an onsite audit a date will be confirmed with the LASO. Otherwise it will be requested that a completed audit packet be returned at the end of the 30 day period.
- This audit requires a great deal of participation from both agency and vendor/contractor personnel.



What to Expect After the Audit

- If your agency is in Compliance, the audit is over until the next cycle.
- If your agency is Out-of-Compliance, Don't Panic!!
- What SLED needs from your Agency?
 - A detailed plan of mitigation signed by your agency head
 - This mitigation plan will put your agency into an area of temporary compliance
 - If your agency provides a mitigation timeline, the SLED LASO unit will reach out periodically to assess your agency's progress

Criminal Justice Information (CJI)





NCIC Access, CJI Data...What's the Difference?

- "4.1 Criminal Justice Information (CJI) Criminal Justice Information is the term used to refer to all of the FBI CJIS provided data necessary for law enforcement and civil agencies to perform their missions including, but not limited to biometric, identity history, biographic, property, and case/incident history data."
- You may get this information through NCIC or other sources, but where is it going and how are you protecting it?



Connections to CJI

- This is not limited to just your agency's connection back to SLED for NCIC access.
- How does your agency access CJI as it resides within your network?
 - Wireless?
 - Remote?
 - Cellular (aircard)?

Questions?


